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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	85CF-00113
First Inventor	Tim K. Keyes
Title	METHODS AND SYSTEMS FOR EFFICIENTLY MANAGING PORTFOLIOS FOR OPTIMAL UNDERWRITING
Express Mail Label No.	EL319733672US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Application claims small entity status.
See 37 CFR 1.27
- Specification [Total Pages 44]
(Preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets 9]
- Oath or Declaration [Total Pages]
- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Group/Art Unit: _____

Prior application information

Examiner: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Custom No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below
Name	John S. Beulick, Reg. No. 33,338			
Address	Armstrong Teasdale LLP			
City	St. Louis	State	Missouri	Zip Code
Country	US	Telephone	(314) 621-5070	Fax

Name (Print/type)	Robert E. Stenker	Registration No. (Attorney/Agent)	45,112
Signature		Date	15-DEC-2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

09/51/2000
PTO

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$782.00)

Complete If Known

Application Number	
Filing Date	
First Named Inventor	Tim K. Keyes
Group Art Unit	
Examiner Name	

Attorney Docket Number 85CF-00113

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-2384

Deposit Account Name

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.
See 37 CFR 1.16 and 1.17

2. Payment Enclosed:

- Check Credit Card Money Order Other

FEE CALCULATION (continued)

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201	356 Utility Filing Fee	\$710.00
106	320	206	160 Design Filing Fee	
107	490	207	246 Plant Filing Fee	
108	710	208	356 Reissue Filing Fee	
114	150	214	75 Provisional Filing Fee	
SUBTOTAL (1)				\$710.00

2. EXTRA CLAIM FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Extra Claims	Fee From Below	Fee Paid
Total Claims 24	-20**	4	x 18	= 72.00	
Independent Claims 3	-3*	0	x 0	= 0	
Multiple Dependant			x	= 0	
SUBTOTAL (2)				\$72.00	

**or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge-late provisional filing fee or cover sheet	
139	130	130	130 Non-English specification	
147	2620	147	2520 For filing a request for ex parte reexamination	
112	920*	112	920 Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*Requesting publication of SIR after Examiner action	
115	110	215	65 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1390	218	695 Extension for reply within fourth month	
128	1890	228	945 Extension for reply within fifth month	
119	310	219	165 Notice of Appeal	
120	310	220	165 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1510	138	1510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1240	241	620 Petition to revive - unintentional	
142	1240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment or property (times number of properties)	
146	710	246	355 Filing a submission after a final rejection (37 CFR 1.12(a))	
149	710	249	355 For each additional invention to be examined (37 CFR 1.12(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify)				

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Robert E. Slenker	Registration No. (Attorney/Agent)	45,112	Telephone	(314) 621-5070
Signature				Date	15- DEC - 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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